

Account Service Fees as of February 1, 2021

<u>Service</u>	<u>Fee Amount</u>	
<u>Account Transfers</u>		
Incoming	Free ¹	
Outgoing	\$65	
<u>Pro Cash Accounts (Corestone Account TM)²</u>		
Annual Account Fee- Silver	\$25	
Annual Account Fee- Silver Plus	\$50	
Annual Account Fee- Gold	\$100	
Annual Account Fee – Platinum	\$150	
Personal Checks	Free, first order	
Reorder Checks	\$15	
Returned Checks	\$25	
Stop Payment	\$25	
<u>Miscellaneous Fees</u>		
Legal Transfers Certificates, Incoming	\$135	Outgoing, if available - Variable
Restricted Stock Receive (Legal Transfer) Incoming	\$135	
Limited Partnership & Private Placement Annual	\$125	
Alternative Investment Document review	\$500	
IRS 990-T UBTI Tax Return filing	\$200	
Pershing issued check stop payment	\$10	
Short Sale Debit Interest	Variable rate	
<u>Annual Inactivity Fee</u>		
	\$50	
<u>Retirement Accounts¹</u>		
	Annual Fee	Termination
Traditional:		
IRA, Roth IRA, Coverdell Education Savings Accounts and IRS Model 5305 S.....	\$43.50 ³	\$95.00
SARSEP/Prototype SEP, SIMPLE IRA, and 403 (b) (7) Custodial Accounts.....	\$58.50	\$95.00
Mutual Fund Only: IRA, Roth IRA, SIMPLE and SEP.....	\$12.00	\$95.00
Refer to full fee schedule for other ERISA plans.....	\$75-\$125	\$95.00

¹The delivering firm may charge an outgoing transfer fee

²Additional Corestone Services are available for individual and corporate accounts. Additional fees may apply.

³Invoiced annually, charged in arrears, see reverse side for complete listing.