

## **Financial Northeastern & InspereX Q&A**

### **How will the transaction impact my business?**

The combination of InspereX and Financial Northeastern Securities brings together two long-established, client-focused fixed income businesses. We anticipate a smooth transition with no interruptions to your business or usual processes, and with the end benefits of more investment solutions and more liquidity.

### **What products and services does InspereX offer?**

Our combined line-up of products and services will be available to our clients. These include our Aria technology portal, award-winning investor education resources, and a complete range of investment solutions, including fixed income, structured products, ETFs, and private markets.

### **Will my existing point of contact/sales representative remain the same?**

Our expectation is that client coverage will remain largely consistent. In instances where responsibilities overlap or evolve, we will ensure clear communication and uninterrupted support

### **Will my representative's contact information change?**

Your contact's email address, phone number, and mailing address will remain the same.

**Please add @InspereX.com to your safe senders list to ensure that you receive all of our communications.**

### **Will delivery instructions for fixed income trading executions change?**

Delivery instructions will remain as they currently are. We will provide advance notice if they change in the future.

### **Will my company be required to complete new documents to continue to trade?**

No. Any information/documents you provided during the initial onboarding process will continue to be valid and will be relied on to continue to execute securities transactions. Your contact/sales representative will let you know if any additional information/documentation is necessary to trade new products or to refresh your information.

### **Is InspereX a FINRA-registered Broker-Dealer?**

Yes, InspereX LLC is a registered Broker-Dealer and FINRA member firm, as well as a member of SIPC.

### **Will your MPID change?**

Until further notice, we will continue to trade under our current MPIDs: SPEX and FNSJ.



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### **When will InspereX's technology portal Aria be available to me?**

Our Aria portal empowers RIAs to access, evaluate, and implement fixed income, structured products, and alternative investments. We look forward to expanding access to Aria in the coming months. Your sales representative will be able to provide you with specific information.

### **What if I have other questions?**

For additional information, please contact your representative.